

Form 604

Corporations Act 2001

Section 671B

Notice of change of interests of substantial holder

To Company Name/Scheme Genetic Signatures Ltd (GSS)

ACN/ARSN 095 913 205

1. Details of substantial holder (1)

Name Regal Funds Management Pty Ltd (RFM)

ACN/ARSN (if applicable) 107 576 821

There was a change in the interests of the substantial holder on

20/04/2021

The previous notice was given to the company on

28/10/2020

The previous notice was dated

23/10/2020

2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous notice		Current notice	
	Person's votes	Voting power (5)	Person's votes	Voting power (5)
ORDINARY	9,971,771	6.98%	8,536,333	5.97%

3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)	Class and number of securities
	See Annexure 1		

4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
UBS AG, AUSTRALIA BRANCH	UBS NOMINEES PTY LTD	UBS AG, AUSTRALIA BRANCH	HOLDER OF SHARES	5,378,931 ORDINARY	5,378,931
MERRILL LYNCH INTERNATIONAL LIMITED	MERRILL LYNCH (AUS) NOMINEES PTY LTD	MERRILL LYNCH INTERNATIONAL LIMITED	HOLDER OF SHARES	527,496 ORDINARY	527,496
CREDIT SUISSE AG	CS THIRD NOMINEES PTY LIMITED	CREDIT SUISSE AG	HOLDER OF SHARES	2,629,906 ORDINARY	2,629,906

5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
NOT APPLICABLE	

6. Addresses

The addresses of persons named in this form are as follows:

Name	Address
PHILIP KING	LEVEL 47 GATEWAY 1 MACQUARIE PLACE SYDNEY NSW 2000

Signature

print name Kenny Ho capacity Company Secretary

sign here



date 22/04/2021

Annexure 1

Changes in relevant interests

Date	Person whose relevant interest changed	Nature of Change	Consideration given in relation to change	Number of securities affected	Class of securities	Persons votes affected
26/10/2020	Regal Funds Management	Sell	22000.00	11000	Ordinary	11000
27/10/2020	Regal Funds Management	Sell	83660.80	43000	Ordinary	43000
28/10/2020	Regal Funds Management	Sell	51101.27	25506	Ordinary	25506
28/10/2020	Regal Funds Management	Sell	22529.66	11370	Ordinary	11370
29/10/2020	Regal Funds Management	Sell	57843.14	29102	Ordinary	29102
29/10/2020	Regal Funds Management	Sell	78412.00	40000	Ordinary	40000
30/10/2020	Regal Funds Management	Sell	18471.31	9511	Ordinary	9511
30/10/2020	Regal Funds Management	Sell	135296.00	70000	Ordinary	70000
10/11/2020	Regal Funds Management	Sell	86600.00	50000	Ordinary	50000
20/11/2020	Regal Funds Management	Sell	34138.00	20000	Ordinary	20000
30/11/2020	Regal Funds Management	Sell	70500.00	40000	Ordinary	40000
14/12/2020	Regal Funds Management	Sell	35052.00	20000	Ordinary	20000
15/12/2020	Regal Funds Management	Sell	10191.11	5774	Ordinary	5774
16/12/2020	Regal Funds Management	Sell	84496.23	48870	Ordinary	48870
18/12/2020	Regal Funds Management	Sell	45656.77	28459	Ordinary	28459
22/12/2020	Regal Funds Management	Sell	26536.15	15582	Ordinary	15582
22/12/2020	Regal Funds Management	Sell	22096.10	13000	Ordinary	13000
23/12/2020	Regal Funds Management	Sell	37426.00	20000	Ordinary	20000
24/12/2020	Regal Funds Management	Sell	30093.00	15000	Ordinary	15000
6/01/2021	Regal Funds Management	Sell	19972.00	10000	Ordinary	10000
7/01/2021	Regal Funds Management	Sell	19700.00	10000	Ordinary	10000
8/01/2021	Regal Funds Management	Sell	19729.00	10000	Ordinary	10000

11/01/2021	Regal Funds Management	Sell	19806.00	10000	Ordinary	10000
14/01/2021	Regal Funds Management	Sell	19179.00	10000	Ordinary	10000
15/01/2021	Regal Funds Management	Sell	39414.00	20000	Ordinary	20000
18/01/2021	Regal Funds Management	Sell	4752.00	2400	Ordinary	2400
19/01/2021	Regal Funds Management	Sell	47532.50	25000	Ordinary	25000
20/01/2021	Regal Funds Management	Sell	9542.00	5000	Ordinary	5000
9/02/2021	Regal Funds Management	Sell	262276.00	140000	Ordinary	140000
10/02/2021	Regal Funds Management	Sell	37494.00	20000	Ordinary	20000
12/02/2021	Regal Funds Management	Sell	18030.00	10000	Ordinary	10000
12/02/2021	Regal Funds Management	Sell	45070.00	25000	Ordinary	25000
16/02/2021	Regal Funds Management	Sell	17950.00	10000	Ordinary	10000
19/02/2021	Regal Funds Management	Sell	49830.00	30000	Ordinary	30000
24/02/2021	Regal Funds Management	Sell	3329.84	1859	Ordinary	1859
26/02/2021	Regal Funds Management	Sell	26020.50	15000	Ordinary	15000
1/03/2021	Regal Funds Management	Sell	25728.00	15000	Ordinary	15000
5/03/2021	Regal Funds Management	Sell	480000.00	300000	Ordinary	300000
5/03/2021	Regal Funds Management	Sell	44877.50	27975	Ordinary	27975
10/03/2021	Regal Funds Management	Sell	47181.00	30000	Ordinary	30000
11/03/2021	Regal Funds Management	Sell	26028.29	16432	Ordinary	16432
11/03/2021	Regal Funds Management	Sell	49045.05	30680	Ordinary	30680
24/03/2021	Regal Funds Management	Sell	23607.00	15000	Ordinary	15000
6/04/2021	Regal Funds Management	Sell	31284.00	20000	Ordinary	20000
12/04/2021	Regal Funds Management	Sell	75420.50	48914	Ordinary	48914
13/04/2021	Regal Funds Management	Sell	30804.00	20000	Ordinary	20000
15/04/2021	Regal Funds Management	Sell	23055.00	15000	Ordinary	15000
20/04/2021	Regal Funds Management	Sell	23231.41	16004	Ordinary	16004
20/04/2021	Regal Funds Management	Sell	14433.00	10000	Ordinary	10000